

Test Report No.: 70.400.21.2512.01-00.01

Rev.: 00

Dated: 2021-08-25



Applicant: YUMI INTELLIGENT MANUFACTURING (HANGZHOU)CO.,LTD

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Attn: PLA RRO & PETG & PLA Flexible PLUS

Sample Description: 1.75mm/2.85mm/3.0mm

Model No.:

Sample Received Date: 2021-08-09, Shanghai

Test Period: From 2021-08-09 to 2021-08-16, Shanghai

Purpose of examination: Verification of RoHS (Restriction of Hazardous Substances) directive 2011/65/EU and its amendment (EU) 2015/863 on submitted samples

Test Results: Refer to following page(s)

Remark:

- The result relates only to the items tested.
- The reference model(s) was declared by client.
- The test sample(s) and item(s) was specified by client.

TÜV SÜD Certification and Testing (China) Co., Ltd. Shanghai Branch
TÜV SÜD Group

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Disclaimer Measurement Uncertainty: Unless otherwise agreed upon, Pass or Fail verdicts are given base on the measured values without any considerations of measurement uncertainties. Please note, every test method has a measurement uncertainty which has been evaluated by the laboratory according to ISO/IEC 17025 requirements. By taking measurement uncertainties into account it might happen that measured values can neither be assessed as Pass nor as Fail. Any use for advertising purposes must be granted in writing. This test report may only be quoted in full. This report is the result of a single examination of the object in question and is not generally applicable evaluation of the quality of other products in regular production. For further details, please see testing and certification regulation, chapter A-3.4.

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SUMMARY OF TEST RESULTS

No.	Test Requested	Conclusion	Remarks
1.	Heavy Metal (Pb, Cd, Hg and Cr VI) Content	PASS	
2.	Polybrominated Biphenyls (PBBs) and Polybrominated Diphenyl Ethers (PBDEs) Content	PASS	
3.	Phthalates (DEHP, BBP, DBP and DIBP) Content	PASS	



1. TESTED SUBJECT DESCRIPTION

T Number	Sample Number	Tested Material Description	Photo
T1	001	Pink plastic / (I)	
T2	002	Red plastic / (I)	
T3	003	Green plastic / (I)	
T4	004	Beige plastic / (I)	

T Number	Sample Number	Tested Material Description	Photo
T5	005	Brown plastic / (I)	
T6	006	Yellow plastic / (I)	
T7	007	Orange plastic / (I)	
T8	008	Blue plastic / (I)	

T Number	Sample Number	Tested Material Description	Photo
T9	009	White plastic / (I)	
T10	010	Yellow plastic / (I)	
T11	011	White plastic / (I)	
T12	012	Semi-transparent plastic / (I)	

T Number	Sample Number	Tested Material Description	Photo
T13	013	Pink plastic / (/)	
T14	014	Orange plastic / (/)	
T15	015	Yellow plastic / (/)	
T16	016	Red plastic / (/)	



T Number	Sample Number	Tested Material Description	Photo
T17	017	Gray plastic / (I)	
T18	018	Purple plastic / (I)	
T19	019	Transparent plastic / (I)	
T20	020	Red plastic / (I)	

T Number	Sample Number	Tested Material Description	Photo
T21	021	Yellow plastic / (I)	
T22	022	White plastic / (I)	
T23	023	Blue plastic / (I)	
T24	024	Orange plastic / (I)	



T Number	Sample Number	Tested Material Description	Photo
T25	025	Gray plastic / (I)	
T26	026	Green plastic / (I)	
T27	027	Purple plastic / (I)	



2. TEST RESULT(S)

2.1 SCREENING TEST

Test method: With reference to EN 62321-1:2013, EN 62321-2:2014, EN 62321-3-1:2014 and EN 62321-8:2017. For Heavy Metals and Flame Retardants, analyzed by Energy Dispersive X-ray Fluorescence Spectrometer (XRF); for phthalates, analyzed by Gas Chromatography and Mass Spectrometer (GC-MS).

Sample No.	Heavy Metals and Flame Retardants					Phthalates			
	Cd	Cr	Hg	Pb	Br	DEHP	BBP	DBP	DIBP
001	BL	BL	BL	BL	BL	BL	BL	BL	BL
002	BL	BL	BL	BL	BL	BL	BL	BL	BL
003	BL	BL	BL	BL	BL	BL	BL	BL	BL
004	BL	BL	BL	BL	BL	BL	BL	BL	BL
005	BL	BL	BL	BL	BL	BL	BL	BL	BL
006	BL	BL	BL	BL	BL	BL	BL	BL	BL
007	BL	BL	BL	BL	BL	BL	BL	BL	BL
008	BL	BL	BL	BL	BL	BL	BL	BL	BL
009	BL	BL	BL	BL	BL	BL	BL	BL	BL
010	BL	BL	BL	BL	BL	BL	BL	BL	BL
011	BL	BL	BL	BL	BL	BL	BL	BL	BL
012	BL	BL	BL	BL	BL	BL	BL	BL	BL
013	BL	BL	BL	BL	BL	BL	BL	BL	BL
014	BL	BL	BL	BL	BL	BL	BL	BL	BL
015	BL	BL	BL	BL	BL	BL	BL	BL	BL
016	BL	BL	BL	BL	BL	BL	BL	BL	BL
017	BL	BL	BL	BL	BL	BL	BL	BL	BL
018	BL	BL	BL	BL	BL	BL	BL	BL	BL
019	BL	BL	BL	BL	BL	BL	BL	BL	BL
020	BL	BL	BL	BL	BL	BL	BL	BL	BL
021	BL	BL	BL	BL	BL	BL	BL	BL	BL
022	BL	BL	BL	BL	BL	BL	BL	BL	BL
023	BL	BL	BL	BL	BL	BL	BL	BL	BL
024	BL	BL	BL	BL	BL	BL	BL	BL	BL
025	BL	BL	BL	BL	BL	BL	BL	BL	BL
026	BL	BL	BL	BL	BL	BL	BL	BL	BL
027	BL	BL	BL	BL	BL	BL	BL	BL	BL

Note:

- "BL" denotes below limit
- "OL" denotes over limit
- "Inc." denotes inconclusive
- "NA" denotes not applicable

-XRF screening limits in mg/kg for regulated elements in various matrices

ELEMENT	POLYMER		
	BL	INCONCLUSIVE	OL
Cd	$X \leq (70-3\sigma)$	$(70-3\sigma) < X < (130+3\sigma)$	$X \geq (130+3\sigma)$
Pb	$X \leq (700-3\sigma)$	$(700-3\sigma) < X < (1300+3\sigma)$	$X \geq (1300+3\sigma)$
Hg	$X \leq (700-3\sigma)$	$(700-3\sigma) < X < (1300+3\sigma)$	$X \geq (1300+3\sigma)$
Br	$X \leq (300-3\sigma)$	$X > (300-3\sigma)$	NA
Cr	$X \leq (700-3\sigma)$	$X > (700-3\sigma)$	NA

ELEMENT	METAL		
	BL	INCONCLUSIVE	OL
Cd	$X \leq (70-3\sigma)$	$(70-3\sigma) < X < (130+3\sigma)$	$X \geq (130+3\sigma)$
Pb	$X \leq (700-3\sigma)$	$(700-3\sigma) < X < (1300+3\sigma)$	$X \geq (1300+3\sigma)$
Hg	$X \leq (700-3\sigma)$	$(700-3\sigma) < X < (1300+3\sigma)$	$X \geq (1300+3\sigma)$
Cr	$X \leq (700-3\sigma)$	$X > (700-3\sigma)$	NA

ELEMENT	COMPLEX MATERIAL		
	BL	INCONCLUSIVE	OL
Cd	$X \leq (50-3\sigma)$	$(50-3\sigma) < X < (150+3\sigma)$	$X \geq (150+3\sigma)$
Pb	$X \leq (500-3\sigma)$	$(500-3\sigma) < X < (1500+3\sigma)$	$X \geq (1500+3\sigma)$
Hg	$X \leq (500-3\sigma)$	$(500-3\sigma) < X < (1500+3\sigma)$	$X \geq (1500+3\sigma)$
Br	$X \leq (250-3\sigma)$	$X > (250-3\sigma)$	NA
Cr	$X \leq (500-3\sigma)$	$X > (500-3\sigma)$	NA

-Screening limits in mg/kg for regulated phthalates in various matrices

PHthalATES	BL	INCONCLUSIVE
DEHP	$X < 600$	$X \geq 600$
BBP	$X < 600$	$X \geq 600$
DBP	$X < 600$	$X \geq 600$
DIBP	$X < 600$	$X \geq 600$

APPENDIX II: Exemption list (Annex III of 2011/65/EU Directives)

Exemption		Scope and dates of applicability
1	Mercury in single capped (compact) fluorescent lamps not exceeding (per burner):	
1(a)	For general lighting purposes < 30 W: 5 mg	Expires on 31 December 2011; 3,5 mg may be used per burner after 31 December 2011 until 31 December 2012; 2,5 mg shall be used per burner after 31 December 2012
1(b)	For general lighting purposes ≥ 30 W and < 50 W: 5 mg	Expires on 31 December 2011; 3,5 mg may be used per burner after 31 December 2011.
1(c)	For general lighting purposes ≥ 50 W and < 150 W: 5 mg	
1(d)	For general lighting purposes ≥ 150 W: 15 mg	
1(e)	For general lighting purposes with circular or square structural shape and tube diameter ≤ 17 mm	No limitation of use until 31 December 2011; 7 mg may be used per burner after 31 December 2011.
1(f)	For special purposes: 5 mg	
1(g)	For general lighting purposes ≤ 30 W with a lifetime equal or above 20000h: 3.5mg	Expires on 31 December 2017.
2(a)	Mercury in double-capped linear fluorescent lamps for general lighting purposes not exceeding (per lamp):	
2(a)(1)	Tri-band phosphor with normal lifetime and a tube diameter < 9 mm (e.g. T2): 5 mg	Expires on 31 December 2011; 4 mg may be used per lamp after 31 December 2011.
2(a)(2)	Tri-band phosphor with normal lifetime and a tube diameter ≥ 9 mm and ≤ 17 mm (e.g. T5): 5 mg	Expires on 31 December 2011; 3 mg may be used per lamp after 31 December 2011.
2(a)(3)	Tri-band phosphor with normal lifetime and a tube diameter > 17 mm and ≤ 28 mm (e.g. T8): 5 mg	Expires on 31 December 2011; 3,5 mg may be used per lamp after 31 December 2011.
2(a)(4)	Tri-band phosphor with normal lifetime and a tube diameter > 28 mm (e.g. T12): 5 mg	Expires on 31 December 2012; 3,5 mg may be used per lamp after 31 December 2012.
2(a)(5)	Tri-band phosphor with long lifetime (≥ 25 000 h): 8 mg	Expires on 31 December 2011; 5 mg may be used per lamp after 31 December 2011.
2(b)	Mercury in other fluorescent lamps not exceeding (per lamp):	
2(b)(1)	Linear halophosphate lamps with tube > 28 mm (e.g. T10 and T12): 10 mg	Expires on 13 April 2012.
2(b)(2)	Non-linear halophosphate lamps (all diameters): 15 mg	Expires on 13 April 2016.
2(b)(3)	Non-linear tri-band phosphor lamps with tube diameter > 17 mm (e.g. T9)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011.
2(b)(4)	Lamps for other general lighting and special purposes (e.g. induction lamps)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011.
3	Mercury in cold cathode fluorescent lamps and external electrode fluorescent lamps (CCFL and EEFL) for special purposes not exceeding (per lamp):	
3(a)	Short length (≤ 500 mm):	No limitation of use until 31 December 2011; 3,5 mg may be used per lamp after 31 December 2011.
3(b)	Medium length (> 500 mm and ≤ 1 500 mm)	No limitation of use until 31 December 2011; 5 mg may be used per lamp after 31 December 2011.
3(c)	Long length (> 1 500 mm)	No limitation of use until 31 December 2011; 13 mg may be used per lamp after 31 December 2011.



Exemption		Scope and dates of applicability
4(a)	Mercury in other low pressure discharge lamps (per lamp)	No limitation of use until 31 December 2011; 15 mg may be used per lamp after 31 December 2011
4(b)	Mercury in High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner) in lamps with improved colour rendering index $R_a > 60$:	
4(b)-I	$P \leq 155 \text{ W}$	No limitation of use until 31 December 2011; 30 mg may be used per burner after 31 December 2011.
4(b)-II	$155 \text{ W} < P \leq 405 \text{ W}$ $155 \text{ W} < P \leq 405 \text{ W}$	
4(b)-III	$P > 405 \text{ W}$	No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011.
4(c)	$P > 405 \text{ W}$ Mercury in other High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner):	
4(c)-I	$P \leq 155 \text{ W}$	No limitation of use until 31 December 2011; 25 mg may be used per burner after 31 December 2011.
4(c)-II	$155 \text{ W} \geq P \geq 405 \text{ W}$	No limitation of use until 31 December 2011; 30 mg may be used per burner after 31 December 2011.
4(c)-III	$P > 405 \text{ W}$	No limitation of use until 31 December 2011; 40 mg may be used per burner after 31 December 2011.
4(d)	Mercury in High Pressure Mercury (vapour) lamps (HPMV)	Expires on 13 April 2015.
4(e)	Mercury in metal halide lamps (MH)	
4(f)	Mercury in other discharge lamps for special purposes not specifically mentioned in this Annex	
4(g)	Mercury in hand crafted luminous discharge tubes used for signs, decorative or architectural and specialist lighting and light-artwork, where the mercury content shall be limited as follows: (a) 20mg per electrode pair+0.3mg per tube length in cm, but not more than 80mg, for outdoor applications and indoor applications exposed to the temperatures below 20°C; (b) 15mg per electrode pair +0.24mg per tube length in cm, but not more than 80mg, for all other indoor applications.	Expires on 31 December 2018.
5(a)	Lead in glass of cathode ray tubes	
5(b)	Lead in glass of fluorescent tubes not exceeding 0,2 % by weight	
6(a)	Lead as an alloying element in steel for machining purposes and in galvanised steel containing up to 0,35 % lead by weight	Expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
6(a)-I	Lead as an alloying element in steel for machining purposes containing up to 0.35% lead by weight and in batch hot dip galvanised steel components containing up to 0,2 % lead by weight	Expires on 21 July 2021 for categories 1-7 and 10.



Exemption		Scope and dates of applicability
6(b)	Lead as an alloying element in aluminium containing up to 0.4% lead by weight	Expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
6(b)-I	Lead as an alloying element in aluminium containing up to 0.4% lead by weight, provided it stems from lead-bearing aluminium scrap recycling	Expires on 21 July 2021 for categories 1-7 and 10.
6(b)-II	Lead as an alloying element in aluminium for machining purposes with a lead content up to 0.4% lead by weight	Expires on 18 May 2021 for categories 1-7 and 10.
6(c)	Copper alloy containing up to 4 % lead by weight	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
7(a)	Lead in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead)	Applies to categories 1-7 and 10 (except applications covered by point 24 of this Annex) and expires on 21 July 2021; For categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments expires on 21 July 2021; For category 8 in vitro diagnostic medical devices expires on 21 July 2023; For category 9 industrial monitoring and control instruments, and for category 11 expires on 21 July 2024.
7(b)	Lead in solders for servers, storage and storage array systems, network infrastructure equipment for switching, signalling, transmission, and network management for telecommunications	
7(c)-I	Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectric devices, or in a glass or ceramic matrix compound	Applies to categories 1-7 and 10 (except applications covered under point 34) and expires on 21 July 2021; For categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments expires on 21 July 2021; For category 8 in vitro diagnostic medical devices expires on 21 July 2023; For category 9 industrial monitoring and control instruments, and for category 11 expires on 21 July 2024.



Exemption		Scope and dates of applicability
7(c)-II	Lead in dielectric ceramic in capacitors for a rated voltage of 125 V AC or 250 V DC or higher	Does not apply to applications covered by point 7(c)-I and 7(c)-IV of this Annex. Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
7(c)-III	Lead in dielectric ceramic in capacitors for a rated voltage of less than 125 V AC or 250 V DC	Expires on 1 January 2013 and after that date may be used in spare parts for EEE placed on the market before 1 January 2013.
7(c)-IV	Lead in PZT based dielectric ceramic materials for capacitors being part of integrated circuits or discrete semiconductors	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
8(a)	Cadmium and its compounds in one shot pellet type thermal cut-offs	Expires on 1 January 2012 and after that date may be used in spare parts for EEE placed on the market before 1 January 2012.
8(b)	Cadmium and its compounds in electrical contacts	Applies to categories 8, 9 and 11 and expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
8(b)-I	Cadmium and its compounds in electrical contacts used in: -circuit breakers; -thermal sensing controls; -thermal motor protectors (excluding hermetic thermal motor protectors); -AC switches rated at: . 6 A and more at 250 V AC and more; or . 12 A and more at 125 V AC and more; -DC switches rated at 20 A and more at 18 V DC and more; and -switches for use at voltage supply frequency ≥ 200 Hz.	Applies to categories 1 to 7 and 10 and expires on 21 July 2021.
9	Hexavalent chromium as an anticorrosion agent of the carbon steel cooling system in absorption refrigerators up to 0,75 % by weight in the cooling solution	

Exemption		Scope and dates of applicability
9(b)	Lead in bearing shells and bushes for refrigerant-containing compressors for heating, ventilation, air conditioning and refrigeration (HVACR) applications	Applies to categories 8,9 and 11, expires on: -21 July 2023 for category 8 in vitro diagnostic medical devices, -21 July 2024 for category 9 industrial monitoring and control instruments and for category 11, -21 July 2021 for other subcategories of categories 8 and 9.
9(b)-I	Lead in bearing shells and bushes for refrigerant-containing hermetic scroll compressors with a stated electrical power input equal or below 9kW for heating, ventilation, air conditioning and refrigeration (HVACR) applications	Applies to category 1; expires on 21 July 2019.
11(a)	Lead used in C-press compliant pin connector systems	May be used in spare parts for EEE placed on the market before 24 September 2010.
11(b)	Lead used in other than C-press compliant pin connector systems	Expires on 1 January 2013 and after that date may be used in spare parts for EEE placed on the market before 1 January 2013.
12	Lead as a coating material for the thermal conduction module C-ring	May be used in spare parts for EEE placed on the market before 24 September 2010
13(a)	Lead in white glasses used for optical applications	Applies to all categories, expires on: -21 July 2023 for category 8 in vitro diagnostic medical devices, -21 July 2024 for category 9 industrial monitoring and control instruments and for category 11, -21 July 2021 for all other categories and subcategories.
13(b)	Cadmium and lead in filter glasses and glasses used for reflectance standards	Applies to categories 8,9 and 11, expires on: -21 July 2023 for category 8 in vitro diagnostic medical devices, -21 July 2024 for category 9 industrial monitoring and control instruments and for category 11, -21 July 2021 for other subcategories of categories 8 and 9.
13(b)-(I)	Lead in ion coloured optical filter glass types	Applies to categories 1 to 7 and 10; expires on 21 July 2021 for categories 1 to 7 and 10.
13(b)-(II)	Cadmium in striking optical filter glass types; excluding applications falling under point 39 of this Annex	
13(b)-(III)	Cadmium and lead in glazes used for reflectance standards	
14	Lead in solders consisting of more than two elements for the connection between the pins and the package of microprocessors with a lead content of more than 80 % and less than 85 % by weight	Expired on 1 January 2011 and after that date may be used in spare parts for EEE placed on the market before 1 January 2011.
15	Lead in solders to complete a viable electrical connection between semiconductor die and carrier within integrated circuit flip chip packages	Applies to categories 8, 9 and 11 and expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.

Exemption	Scope and dates of applicability
15(a) Lead in solders to complete a viable electrical connection between the semiconductor die and carrier within integrated circuit flip chip packages where at least one of the following criteria applies: -a semiconductor technology node of 90 nm or larger; -a single die of 300 mm ² or larger in any semiconductor technology node; -stacked die packages with die of 300 mm ² or larger, or silicon interposers of 300 mm ² or larger.	Applies to categories 1 to 7 and 10 and expires on 21 July 2021.
16	Lead in linear incandescent lamps with silicate coated tubes Expires on 1 September 2013.
17	Lead halide as radiant agent in high intensity discharge (HID) lamps used for professional reprography applications
18(a)	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps when used as speciality lamps for diazoprinting reprography, lithography, insect traps, photochemical and curing processes containing phosphors such as SMS ((Sr,Ba)2MgSi2O7:Pb) Expired on 1 January 2011.
18(b)	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps when used as sun tanning lamps containing phosphors such as BSP (BaSi2O5:Pb) Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
18(b)-I	Lead as activator in the fluorescent powder (1 % lead by weight or less) of discharge lamps containing phosphors such as BSP (BaSi2O5:Pb) when used in medical phototherapy equipment Applies to categories 5 and 8, excluding applications covered by entry 34 of Annex IV, and expires on 21 July 2021.
19	Lead with PbBiSn-Hg and PbInSn-Hg in specific compositions as main amalgam and with PbSn-Hg as auxiliary amalgam in very compact energy saving lamps (ESL) Expires on 1 June 2011.
20	Lead oxide in glass used for bonding front and rear substrates of flat fluorescent lamps used for Liquid Crystal Displays (LCDs) Expires on 1 June 2011.
21	Lead and cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses Applies to categories 8, 9 and 11 and expires on: -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
21(a)	Cadmium when used in colour printed glass to provide filtering functions, used as a component in lighting applications installed in displays and control panels of EEE Applies to categories 1 to 7 and 10 except applications covered by entry 21(b) or entry 39 and expires on 21 July 2021.



Exemption		Scope and dates of applicability
21(b)	Cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glasses	Applies to categories 1 to 7 and 10 except applications covered by entry 21(a) or 39 and expires on 21 July 2021.
21(c)	Lead in printing inks for the application of enamels on other than borosilicate glasses	Applies to categories 1 to 7 and 10 and expires on 21 July 2021.
23	Lead in finishes of fine pitch components other than connectors with a pitch of 0,65 mm and less	May be used in spare parts for EEE placed on the market before 24 September 2010.
24	Lead in solders for the soldering to machined through hole discoidal and planar array ceramic multilayer capacitors	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11. -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
25	Lead oxide in surface conduction electron emitter displays (SED) used in structural elements, notably in the seal frit and frit ring	
26	Lead oxide in the glass envelope of black light blue lamps	Expires on 1 June 2011.
27	Lead alloys as solder for transducers used in high-powered (designated to operate for several hours at acoustic power levels of 125 dB SPL and above) loudspeakers	Expired on 24 September 2010.
29	Lead bound in crystal glass as defined in Annex I (Categories 1, 2, 3 and 4) of Council Directive 69/493/EEC	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
30	Cadmium alloys as electrical/mechanical solder joints to electrical conductors located directly on the voice coil in transducers used in high-powered loudspeakers with sound pressure levels of 100 dB (A) and more	
31	Lead in soldering materials in mercury free flat fluorescent lamps (which, e.g. are used for liquid crystal displays, design or industrial lighting)	
32	Lead oxide in seal frit used for making window assemblies for Argon and Krypton laser tubes	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.



Exemption		Scope and dates of applicability
33	Lead in solders for the soldering of thin copper wires of 100 µm diameter and less in power transformers	
34	Lead in cermet-based trimmer potentiometer elements	-21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
36	Mercury used as a cathode sputtering inhibitor in DC plasma displays with a content up to 30 mg per display	Expired on 1 July 2010.
37	Lead in the plating layer of high voltage diodes on the basis of a zinc borate glass body	Expires on: -21 July 2021 for categories 1-7 and 10; -21 July 2021 for categories 8 and 9 other than in vitro diagnostic medical devices and industrial monitoring and control instruments; -21 July 2023 for category 8 in vitro diagnostic medical devices; -21 July 2024 for category 9 industrial monitoring and control instruments, and for category 11.
38	Cadmium and cadmium oxide in thick film pastes used on aluminium bonded beryllium oxide	
39(a)	Cadmium selenide in downshifting cadmium-based semiconductor nanocrystal quantum dots for use in display lighting applications (<0.2µg Cd per mm ² of display screen area)	Expired for all categories on 31 October 2019.
40	Cadmium in photoresistors for analogue optocouplers applied in professional audio equipment	Expires on 31 December 2013.
41	Lead in solders and termination finishes of electrical and electronic components and finishes of printed circuit boards used in ignition modules and other electrical and electronic engine control systems, which for technical reasons must be mounted directly on or in the crankcase or cylinder of hand-held combustion engines (Classes SH:1,SH:2,SH:3 of Directive 97/68/EC of the European Parliament and of the Council)	
42	Lead in bearings and bushes of diesel or gaseous fuel powered internal combustion engines applied in non-road professional use equipment: -with engine total displacement ≥ 15 litres; or -with engine total displacement < 15 litres and the engine is designed to operate in applications where the time between signal to start and full load is required to be less than 10 seconds; or regular maintenance is typically performed in a harsh and dirty outdoor environment, such as mining, construction, and agriculture applications.	Applies to category 11, excluding applications covered by entry 6(c) of this Annex. Expires on 21 July 2024.



Exemption	Scope and dates of applicability
43 Bis(2-ethylhexyl) phthalate in rubber components in engine systems, designed for use in equipment that is not intended solely for consumer use and provided that no plasticised material comes into contact with human mucous membranes or into prolonged contact with human skin and the concentration value of bis(2-ethylhexyl) phthalate does not exceed: (a) 30 % by weight of the rubber for (i) gasket coatings; (ii) solid-rubber gaskets; or (iii) rubber components included in assemblies of at least three components using electrical, mechanical or hydraulic energy to do work, and attached to the engine. (b) 10 % by weight of the rubber for rubber-containing components not referred to in point (a). For the purposes of this entry, 'prolonged contact with human skin' means continuous contact of more than 10 minutes duration or intermittent contact over a period of 30 minutes, per day.	Applies to category 11 and expires on 21 July 2024.
44 Lead in solder of sensors, actuators, and engine control units of combustion engines within the scope of Regulation (EU) 2016/1628 of the European Parliament and of the Council (4), installed in equipment used at fixed positions while in operation which is designed for professionals, but also used by non-professional users	Applies to category 11 and expires on 21 July 2024.

Note: Categories of EEE covered by 2011/65/EU Directives:

- | | |
|---------------------------------------|---|
| 1 Large household appliances | 7 Toys, leisure and sports equipment |
| 2 Small household appliances | 8 Medical devices |
| 3 IT and telecommunications equipment | 9 Monitoring and control instruments including industrial monitoring and control instrument |
| 4 Consumer equipment | 10 Automatic dispensers |
| 5 Lighting equipment | 11 Other EEE not covered by any of the categories above |
| 6 Electrical and electronic tools | |

-----End of Report-----

1. General Information and Definitions

- (1.1) In the event that an order for any services is placed, the Client shall accept the General Terms and Conditions. The General Terms and Conditions shall be applicable to all orders, resulting contracts and other arrangements, including all offers made or services provided by the Company or any of its affiliated companies. They are not applicable if and as far as they are in conflict with the regulations on services performed on behalf of governments, government bodies or any other public entity, or they are in conflict with mandatory provisions of local law. The Client's placement of orders as well as the conclusion of contracts with the Company shall be regarded as awareness and acceptance of these General Terms and Conditions.
- (1.2) The Company strongly recommends any Client or potential Client to read the full text of these General Terms and Conditions prior to placement of any order to or conclusion of any contract with the Company. Ancillary agreements, promises and other statements made on the part of the Company staff or the experts called upon by them shall be binding only if they are expressly confirmed by the Company in writing. This shall also apply to any modifications of this clause.

2. Provision of Services

- (2.1) With due care and skill, the Company will provide services according to Client's specific instructions as made available by the Client. In the absence of Client's specific instructions, the following is deemed as instructions given to the Company:
- (a) The terms of any standard specification sheet or standard order form provided by the Company; and/or
 - (b) Any relevant usage, practice or trade custom; and/or
 - (c) Such methods the Company considers technically, operationally and/or on financial grounds appropriate.
- (2.2) No other party is entitled to give any instructions particularly on the scope and type of the services or the reports delivered, or on the resulting certificates (the "Reports of Findings"), unless the Company receives prior written instructions to the contrary from the Client. The Client hereby irrevocably authorizes the Company to deliver Reports of Findings to a third party where so instructed by the Client or, at the Company's discretion, where it implicitly follows from circumstances, trade custom, usage or practice.
- (2.3) The Information stated in the Report of Findings is derived from the results of inspection or testing procedures carried out in accordance with the instructions and/or Company's assessment of such results on the basis of any technical standards, trade custom or practice, or other circumstances which should in Company's professional experience be taken into account.
- (2.4) Reports of Findings issued after the testing of samples refer the Company's opinion only on samples under testing and not to the lot from which the samples were drawn.
- (2.5) Client agrees that the Company's sole responsibility is to be present at the time of the third party's intervention and to forward the results, or confirm the occurrence of the intervention, in case Client requests the Company to witness any third party's intervention. Client agrees that the Company will use the test methods for analysis as requested in the request form, and if none is stated in the form, the Company will choose the appropriate test methods for analysis.
- (2.6) The Reports of Findings issued by the Company will reflect the facts as recorded by it at the time of its intervention only and within the limits of the instructions received or, in the absence of such instructions, within the limits of the alternative parameters applied as provided for in Clause 2.1. The Company is under no obligation to refer to, or report upon, any facts or circumstances, which are outside the specific instructions received or alternative parameters applied.
- (2.7) The performance of all or part of the services may be delegated to an agent or subcontractor by the Company. The Client authorizes the Company to disclose all information necessary for such performance to the agent or subcontractor.
- (2.8) Documents reflecting engagements contracted between the Client and third parties or third party documents, e.g. sales contract copies, letters of credit, bills of lading, etc. should be made available to the Company. These are considered to be for information only, and do not extend or restrict the scope of the services or the obligations accepted by the Company.
- (2.9) The Company agrees that, by providing the services to the Client, it neither takes the place of Client or any third party, nor otherwise assumes, abridges, abrogates or undertakes to discharge any duty of the Client to any third party or that of any third party to the Client. Also, it does not release the Client or any third party from any of their obligations.
- (2.10) Depending on the nature of each sample, all samples given to the Company shall be retained for a maximum of 3 months or for such other shorter time period as the nature of the sample permits, and then sent back to Client or otherwise disposed of at the Company's discretion. After that time the Company will not be responsible for the samples. Storage of samples for more than 3 months shall incur a storage fee payable by the Client. If samples are returned to the Client, the Client will be billed a handling and freight fees. Special disposal charges will be billed to the Client if incurred.

3. Client's Obligations

- The Client shall:
- (3.1) ensure that all required supporting documents, information and instructions as submitted are accurate, truthful and complete. These information are to be submitted in a timely manner not later than 2 working days from the date of which the services are requested by the Client
 - (3.2) ensure to give all necessary access for the Company's representatives to the premises where the services are to be performed and to take all necessary steps to eliminate or remedy any obstacles to, or interruptions in the performance of the services;
 - (3.3) make available any special equipment and personnel necessary for the performance of the services, if required;
 - (3.4) ensure that for the safety and security of working conditions, sites and installations, all necessary measures are taken during the performance of services. In this respect, the Client will not rely on the Company's advice whether required or not;
 - (3.5) inform the Company of any known hazards or dangers, actual or potential, associated with any order, samples, testing or any other service rendered by the Company well in advance. Those are, but are not limited to the presence or risk of radiation, environmental pollution or poisons-toxic or noxious or explosive elements or materials;
 - (3.6) fully exercise all its rights and discharge all its liabilities under any relevant sales or other contract with a third party.

4. Fees and Payment

- (4.1) All Fees not agreed on between the Company and Client at the time the order is placed or a contract is concluded shall be determined by the Company's Schedule of Fees (which are subject to change). All applicable taxes shall be paid by Client, as far as mandatory laws do not provide otherwise.
- (4.2) Unless a specific period is established in the invoice, the Client shall pay upon receiving the invoice, but not later than 30 days from the relevant invoice date or within such other period as may be established by the Company in the invoice (the "Due Date").
- (4.3) The Client shall not be entitled to retain or defer due payment of any sums to the Company on account of any dispute, counter claim or set-off against the Company. The Company reserves the right to retain or defer any due payments if any dispute arises with or it raises any counterclaim against the Client. The Company is entitled to set off due payments against payments of the Client.

- (4.4) For the collection of unpaid fees, the Company may decide to bring action in any court with competent jurisdiction. The corresponding collection costs, including attorney's fees and related costs, shall be borne by the Client, as far as the mandatory local law does not provide otherwise.
- (4.5) In case of any unforeseen problems or expenses arise while carrying out the services, the Company informs the Client. In such cases, the Company shall be entitled to charge additional fees to cover extra time and to invoice extra costs necessarily incurred to complete the services.
- (4.6) If the Company is unable to perform all or parts of the services for any cause whatsoever beyond the Company's control, including the failure by Client to comply with any of its obligations provided for in the foregoing Clause 3, the Company shall nevertheless be entitled to payments of:
 - (1) The amount of all non-refundable expenses incurred by the Company; and
 - (2) A proportion of the agreed fee equal to the proportion of the services actually carried out.

5. Suspension or Termination of Services

- In any case mentioned below, the Company shall be entitled to either suspend or terminate the provision of the services immediately and without any liability:
- (5.1) Failure by the Client to comply with any of its obligations under these General Terms and Conditions and such failure is not remedied within 10 days after a notice of such failure has been delivered to the Client; or
 - (5.2) Any suspension of payment, arrangement with creditors, bankruptcy, insolvency, receivership or cessation of business by Client.

6. Liability and Indemnification

- (6.1) Limitation of Liability.
- (1) Clients seeking a guarantee against loss or damage should obtain appropriate insurance. The Company is neither an insurer nor a guarantor and disclaims all liability in such capacity.
 - (2) Reports of Findings are issued on the basis of the information, documents and/or samples provided by, or on behalf of the Client and solely for the benefit of the Client who is obliged to act on the basis of such Reports of Findings. Neither the Company nor any of its staff, agents or subcontractors shall be liable to the Client nor to any third party for any actions taken or not taken on the basis of such Reports of Findings, or for any incorrect results arising from unclear, erroneous, incomplete, misleading or false information provided to the Company.
 - (3) For any delayed, total or partial non-performance of the services arising directly or indirectly from any event beyond the Company's control, including failure by Client to comply with any of its obligations hereunder, the Company shall not be liable.
 - (4) The liability of the Company in respect of any claim for loss, damage or expense of any nature and howsoever arising shall in no circumstances exceed a total aggregate sum equal to 10 times the amount of the fee paid in respect of the specific service which gives rise to such claim, and shall in any case not exceed the equivalent of 25,000 EUR in CNY.
 - (5) For any indirect or consequential loss (including loss of profits), the Company shall not have any liabilities.
 - (6) In case of any claim, the Client must give written notice to the Company within 30 days of discovery of the facts with all necessary documents to justify such claim. In any case, the Company shall be discharged from all liability for all claims for loss, damage or expense unless a lawsuit is brought within two years from:
 - (i) the performance date of the Company for its services which refers to the claim; or
 - (ii) the date when the service should have been completed in the event of any alleged non-performance.
- (6.2) Indemnification. Against all claims (actual or threatened) by any third party for loss, damage or expenses of whatsoever nature including all legal expenses and related costs and howsoever arising relating to the performance, purported performance or non-performance of any services, the Client shall guarantee, hold harmless and indemnify the Company and its officers, employees, agents or subcontractors.

7. Obligation of Confidentiality, Copyright, Data Privacy Protection

- (7.1) The Company shall be authorized to make file copies of written documents, which have been made available to it for review and which are important for processing the order.
- (7.2) Insofar as Reports of Findings are prepared in the course of processing the order and which are subject to the protection of copyright, then the Company shall grant the Client a simple, non-transferable right to use, insofar as this is necessary and in accordance with the contractually presupposed purpose. Other rights shall not be transferred; in particular, the customer shall not be entitled to modify and/or edit audit reports or to make use of such outside of his business premises.
- (7.3) The Company and its staff which may be called in shall not disclose or use trade and business matters about which they have gained knowledge during the performance of their work without proper authorization, or unless instructed by a court or authorized body (e.g. regulatory authority, accreditation body or certification scheme owner) or otherwise legally required.

8. Miscellaneous

- (8.1) The validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired, even if any one or more provisions of these General Conditions are found to be illegal or unenforceable in any respect.
- (8.2) Client shall not directly or indirectly entice, encourage or make any offer to Company's employees to leave their employment with the Company, during the course of providing the services and for a period of one year thereafter.
- (8.3) Use of the Company's corporate name or registered marks for advertising purposes is not permitted without the Company's prior written authorization.

9. Governing Law, Jurisdiction and Dispute Settlement

- (9.1) Unless specifically agreed otherwise, all disputes arising out of or in connection with contractual relationship(s) hereunder shall be governed by the applicable laws and regulations of the People's Republic of China.
- (9.2) Place of performance for any obligation arising out of this contract shall be Shanghai, the Place of the TÜV SÜD Certification and Testing (China) Co., Ltd., Shanghai branch, unless otherwise expressly agreed by the parties.

10. Languages

In the event of any discrepancy between the English and the Chinese version of these General Terms and Conditions, the English version shall prevail.